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			S. PATENT DO	CUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number - Kind Code <sup>2</sup> ( <i>if known</i> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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Examiner	10 10 1	Date	00/05/2009
Signature	/Carol See/	Considered	09/03/2006

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			Complete if Known		
Substitute for fo	rm 1449/PTO		Application Number	10/703,978	
			Filing Date	November 7, 2003	
INFOR	MATION DISC	LOSURE	First Named Inventor	Serkan Savasoglu	
STATE	MENT BY API	PLICANT	Art Unit	3696	
			Examiner Name	Carol A. See	
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Sheet	2	Of 3	Attorney Docket Number	030587	

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Examiner Cite Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issunumber(s), publisher, city and/or country where published		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>		
		Craig, Pirrong, "Manipulation of Cash-Settled Futures Contracts", JSTOR: The Journal of Business, Vol. 74, No. 2, (2001), pp. 224.			
		Office Action issued on September 27, 2007 in Application No. 10/677,172.			
		Office Action issued on April 15, 2008 in Application No. 10/677,172.			
		Office Action issued on July 31, 2007 in Application No. 10/679,054.			
		Office Action issued on Jan. 23, 2008 in Application No. 10/679,054.			
		Office Action issued on July 22, 2008 in Application No. 10/679,054.			
		Office Action issued on Aug. 3, 2007 in Application No. 10/679,071.			
		Office Action issued on Jan. 9, 2008 in Application No. 10/679,071.			
		Office Action issued on Aug. 20, 2008 in Application No. 10/679,071.			
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				Examiner Name	Carol A. See	
(use as many sheets as necessary)			ssary)			
Sheet	3	Of	3	Attorney Docket Number	030587	

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Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>		
		Prospectus Supplement, The Hartford Financial Services Group, Inc., Sept. 9, 2002.			
		United States Patent Application No. 10/677,172 (Attorney Docket No. 030586)			
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